

Prince Edward Island

Postage paid in cash at First Class Rates

PUBLISHED BY AUTHORITY

VOL. CXXVIII - NO. 13

Charlottetown, Prince Edward Island, March 30th, 2002

CANADA PROVINCE OF PRINCE EDWARD ISLAND IN THE SUPREME COURT - ESTATES DIVISION

TAKE NOTICE that at all persons indebted to the following estates must make payment to the personal representative of the estates noted below, and that all persons having any demands upon the following estates must present such demands to the representative within six months of the date of the advertisement:

Estate of: Personal Representative: Executor/Executrix (Ex) Date of Place of the Advertisement Administrator/Administratrix (Ad) Pavment Foster Hennessey MacKenzie ACORN, Earl Lincoln Claire Beatrice Acorn (EX.) Murray River PO Box 38 Kings Co., PE Charlottetown, PE March 30th, 2002 (13-26)* GARDINER, Arthur Camden Bertha Louise Gardiner (EX.) Key McKnight & Peacock Lower Freetown PO Box 1570 Prince Co., PE Summerside, PE March 30th, 2002 (13-26)* SIMMONS, Eunice Mabel Sheila Moase-Reeves Taylor McLellan Summerside Nancy Marchbank (EX.) PO Box 35 Prince Co., PE Summerside, PE March 30th, 2002 (13-26)* CAMERON, Clarence John David Cameron (EX.) Key McKnight & Peacock Summerside PO Box 1570 Prince Co., PE Summerside, PE March 23rd, 2002 (12-25) HARKNESS, Marjorie Belle Susan Bowness Key McKnight & Peacock PO Box 1570 Summerside Deborah Reid (EX.) Prince Co., PE Summerside, PE March 23rd, 2002 (12-25) JOLLIMORE, Robert Samuel W. Olive M. Jollimore (EX.) Patterson Palmer Springbrook 82 Summer Street Queens Co., PE Summerside, PE March 23rd, 2002 (12-25)

TAKE NOTICE that at all persons indebted to the following estates must make payment to the personal representative of the estates noted below, and that all persons having any demands upon the following estates must present such demands to the representative within six months of the date of the advertisement:

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Estate of: Date of the Advertisement	Personal Representative: Executor/Executrix (Ex) Administrator/Administratrix (Ad)	Place of Payment
SULLIVAN, Mary Souris Kings Co., PE March 23rd , 2002 (12-25)	William Holland (EX.)	Allen J. MacPhee Law Corporation PO Box 238 Souris, PE
McGUIGAN, David Howlan Montague Kings Co., PE March 23rd , 2002 (12-25)	Greta Elaine McGuigan Preston Leo McGuigan (AD.)	Cox Hanson O'Reilly Matheson PO Box 875 Charlottetown, PE
VILLARD, Eileen Margaret St. Margarets, Souris RR#5 Kings Co., PE March 23rd, 2002 (12-25)	George Bernard Villard (AD.)	MacLeod Crane & Parkman PO Box 1056 Charlottetown, PE
BARBOUR, Waldron Alma Prince Co., PE March 16th, 2002 (11-24)	Donna MacPhee (EX.)	J. Allan Shaw Law Corporation PO Box 40 Alberton, PE
CAMPBELL, Norman Coleman Prince Co., PE March 16th, 2002 (11-24)	Troy Campbell (EX.)	Patterson Palmer 82 Summer Street Summerside, PE
MILLAR, Peter G. Ellerslie Prince Co., PE March 16th, 2002 (11-24)	Marilla Millar (EX.)	Lyle & McCabe PO Box 300 Summerside, PE
MORRISSEY, Joseph Edward Montague Kings Co., PE March 16th, 2002 (11-24)	William Basil Morrissey (EX.)	Evans MacCallum PO Box 714 Charlottetown, PE
RICE, Cecil James Cardigan Kings Co., PE March 16th, 2002 (11-24)	Vera Rice Francis Rice Marian Grant (EX.)	Alfred K. Fraser, QC PO Box 516 Montague, PE
DOYLE, Todd Leo Tignish Prince Co., PE March 16th, 2002 (11-24)	Leo Doyle (AD.)	J. Allan Shaw Law Corporation PO Box 40 Alberton, PE
FITZGERALD, George Gerald Diversion Road Ellerslie RR#2 Prince Co., PE March 16th, 2002 (11-24)	Marie Anne Fitzgerald (AD.)	Key McKnight & Peacock PO Box 1570 Summerside, PE

http://www.gov.pe.ca/royalgazette

Estate of: Date of the Advertisement	Personal Representative: Executor/Executrix (Ex) Administrator/Administratrix (Ad)	Place of Payment
KELLY, Hillard Eugene Orangeville, ON (Formerly of Foxley River Prince Co., PE) March 16th, 2002 (11-24)	Mary Saunders (AD.)	The Law Office of Kathleen Loo Craig PO Box 11 Summerside, PE
CULLEN, Geraldine Teresa Charlottetown Queens Co., PE March 9th, 2002 (10-23)	Leslie Rogerson Bernice Deighan (EX.)	MacLeod Crane & Parkman PO Box 1056 Charlottetown, PE
DOYLE, Elsie Winnifred Charlottetown Queens Co., PE March 9th, 2002 (10-23)	Leo P. Doyle (EX.)	Farmer & MacLeod National Bank Tower Suite 205, 134 Kent Street Charlottetown, PE
GALLANT, William Joseph Alberton Prince Co., PE March 9th, 2002 (10-23)	Marion Myers (EX.)	J. Allan Shaw Law Corporation PO Box 40 Alberton, PE
MacDONALD, Anna Isabel Roxbury Prince Co., PE March 9th, 2002 (10-23)	Danny MacDonald (EX.)	Curley Sanderson Howard 91 Water Street Charlottetown, PE
MURRAY, Calvin Joshua Kingston Nova Scotia March 9th, 2002 (10-23)	Mary Viola Murray (EX.)	David R. Hammond, QC 740A Water Street East Summerside, PE
RICHARD, Aline Mary Summerside Prince Co., PE March 9th, 2002 (10-23)	Reginald Richard (EX.)	Key McKnight & Peacock PO Box 1570 Summerside, PE
SAVILLE, Warren "Leslie" Sturgeon Kings Co., PE March 9th, 2002 (10-23)	Martin MacLeod Eric MacDonald (EX.)	Alfred K. Fraser, QC PO Box 516 Montague, PE
WEEKS, Benjamin Lloyd Charlottetown Queens Co., PE March 9th, 2002 (10-23)	Nancy L. Robertson (EX.)	Cox Hanson O'Reilly Matheson PO Box 875 Charlottetown, PE

Estate of: Date of the Advertisement	Personal Representative: Executor/Executrix (Ex) Administrator/Administratrix (Ad)	Place of Payment
DENNISON, Annette M. Southborough County of Worcester Massachusetts, USA March 9th , 2002 (10-23)	Susan L. Dennison (AD.)	McInnes Cooper BDC Place, Suite 620 119 Kent Street Charlottetown, PE
FLANAGAN, Isabel Bella Charlottetown Queens Co., PE March 9th , 2002 (10-23)	Beverly F. Doyle (AD.)	Carr Stevenson & MacKay PO Box 522 Charlottetown, PE
PERRY, Melvin J. St. Edwards Prince Co., PE March 9th, 2002 (10-23)	Anita Perry (AD.)	J. Allan Shaw Law Corporation PO Box 40 Alberton, PE
GLOVER, Donald Everett Norboro Prince Co., PE March 2nd, 2002 (9-22)	Arline Glover (EX.)	Ramsay & Clark PO Box 96 Summerside, PE
LEFTLY, Emma Charlottetown Queens Co., PE March 2nd , 2002 (9-22)	Paul Michael Ann Love (EX.)	Patterson Palmer PO Box 486 Charlottetown, PE
SHANAHAN, Dorothy Rita (also known as Rita Dorothy Shanahar Charlottetown Queens Co., PE March 2nd, 2002 (9-22)	Olga Shanahan (EX.)	Farmer & MacLeod National Bank Tower Suite 205, 134 Kent Street Charlottetown, PE
TREMERE, Dale Lowell RR#2 Hampshire North Wiltshire Queens Co., PE March 2nd, 2002 (9-22)	Pauline Tremere (EX.)	Greg Collins Law Office National Bank Tower Suite 405, 134 Kent Street Charlottetown, PE
IMPERIAL, Evelyn Grace Watertown Massachusetts, USA March 2nd, 2002 (9-22)	John Joseph Imperial (AD.)	Cox Hanson O'Reilly Matheson PO Box 875 Charlottetown, PE
LLEWELLYN, Harland Inman Pembroke Kings Co., PE March 2nd , 2002 (9-22)	Crystal G. Llewellyn (AD.)	Alfred K. Fraser, QC PO Box 516 Montague, PE

Estate of: Date of the Advertisement	Personal Representative: Executor/Executrix (Ex) Administrator/Administratrix (Ad)	Place of Payment
O'CONNOR, Doris Bernice Brudenell Kings Co., PE March 2nd, 2002 (9-22)	Tanya O'Connor Flynn (AD.)	Alfred K. Fraser, QC PO Box 516 Montague, PE
ALLEN, Arthur M. Clyde River Queens Co., PE February 23rd, 2002 (8-21)	Louise M. Fillatre Barbara E. Malott (EX.)	Farmer & MacLeod National Bank Tower Suite 205, 134 Kent Street Charlottetown, PE
McASKILL, John Joseph Vincent (also known as John Joseph Vincent MacAskill) Charlottetown Queens Co., PE February 23rd, 2002 (8-21)	Mary Gallant (EX.)	McInnes Cooper BDC Place Suite 620, 119 Kent Street Charlottetown, PE
MacDONALD, Evelyn Francis Souris Kings Co., PE February 23rd , 2002 (8-21)	Robert Mossey (EX.)	Stewart McKelvey Stirling Scales PO Box 2140 Charlottetown, PE
SMITH, Vernon Lloyd New Dominion Prince Co., PE February 23rd , 2002 (8-21)	Donald Maund (EX.)	McInnes Cooper BDC Place Suite 620, 119 Kent Street Charlottetown, PE
CLARK, Donald Kenneth Toronto Ontario February 23rd , 2002 (8-21)	Kathleen Patricia Penner (AD.)	David R. Hammond, QC 740A Water Street East Summerside, PE
CLARK, Myrtle G. Toronto Ontario February 23rd , 2002 (8-21)	William Alexander Clark (AD.)	David R. Hammond, QC 740A Water Street East Summerside, PE
McRAE, Roger Joseph Saint John, NB (Formerly of Alberton, Prince Co., PE) February 23rd, 2002 (8-21)	Ann McKinnon (AD.)	Curley Larter Sanderson Howard 91 Water Street Charlottetown, PE
COLSELL, Frank Charlottetown Queens Co., PE February 16th, 2002 (7-20)	Robert B. Harvey (EX.)	Farmer & MacLeod National Bank Tower Suite 205, 134 Kent Street Charlottetown, PE

Estate of: Date of the Advertisement	Personal Representative: Executor/Executrix (Ex) Administrator/Administratrix (Ad)	Place of Payment
MacMURDO, Douglas N. North Bedeque Prince Co., PE February 16th, 2002 (7-20)	Anna MacMurdo (EX.)	Ramsay & Clark PO Box 96 Summerside, PE
MORRISON, Albert Laurie Roseberry Queens Co., PE February 16th, 2002 (7-20)	John Morrison (EX.)	Steven L. Woodman 134 Kent Street, Suite 205 Charlottetown, PE
MURRAY, Margaret Frances O'Leary Prince Co., PE February 16th, 2002 (7-20)	Eileen Rioux Gladys Jean Green (EX.)	Key McKnight & Peacock PO Box 1570 Summerside, PE
SELLICK, Keith Cascumpec Prince Co., PE February 16th, 2002 (7-20)	Terry Lee Hagen (EX.)	J. Allan Shaw Law Corporation PO Box 40 Alberton, PE
SHEA, Joseph Alexander Seacow Pond Prince Co., PE February 16th, 2002 (7-20)	Myra Shea (EX.)	J. Allan Shaw Law Corporation PO Box 40 Alberton, PE
GAUDET, J. Henry (sometimes known as Joseph Henri Gaudet) Tignish Prince Co., PE February 16th, 2002 (7-20)	Joseph Alphonse Gaudet (AD.)	J. Allan Shaw Law Corporation PO Box 40 Alberton, PE
PERRY, Joseph Philip Borden-Carleton Prince Co., PE February 16th, 2002 (7-20)	Linda Terris (AD.)	Key McKnight & Peacock PO Box 1570 Summerside, PE
BASCHKIN, Bernard B. Rye, Westchester Co New York, USA February 9th , 2002 (6-19)	Millicent A. Baschkin (EX.)	Curley Larter Sanderson Howard .91 Water Street Charlottetown, PE
BRYANTON, Olive Bernadine Coleman Prince Co., PE February 9th , 2002 (6-19)	Harry Bryanton (EX.)	J. Allan Shaw Law Corporation PO Box 40 Alberton, PE
CAIRNS, George A. Charlottetown Queens Co., PE February 9th , 2002 (6-19)	David Kenneth Cairns Anna Lynn Cairns Winifred Elizabeth Wake (EX.)	Farmer & MacLeod National Bank Tower Suite 205, 134 Kent Street Charlottetown, PE
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CAMPBELL, Neil Joseph Panmure Island Kings Co., PE February 9th , 2002 (6-19)	Pauline Campbell (EX.)	Alfred K. Fraser, QC PO Box 516 Montague, PE
COHOON, Emerson Lester Murray Harbour Kings Co., PE February 9th , 2002 (6-19)	Edison Horton (EX.)	Alfred K. Fraser, QC PO Box 516 Montague, PE
FRASER, John Joseph Charlottetown Queens Co., PE February 9th , 2002 (6-19)	Susan J. Henry (EX.)	Patterson Palmer PO Box 486 Charlottetown, PE
GAVIN, Edgar Lawrence Seacow Pond Prince Co., PE February 9th, 2002 (6-19)	Marcia Smythe (EX.)	J. Allan Shaw Law Corporation PO Box 40 Alberton, PE
KEENAN, Thomas W. Charlottetown Queens Co., PE February 9th, 2002 (6-19)	Shirley Keenan (EX.)	Cox Hanson O'Reilly Matheson PO Box 875 Charlottetown, PE
LARIVIERE, Ann M. Tignish Prince Co., PE February 9th , 2002 (6-19)	Jacinta Blanchette (EX.)	Ramsay & Clark PO Box 96 Summerside, PE
MacLEOD, Stewart Alonzo Montague Kings Co., PE February 9th , 2002 (6-19)	Duncan MacLeod (EX.)	Alfred K. Fraser, QC PO Box 516 Montague, PE
McLEOD, Harold Francis Dromore Queens Co., PE February 9th , 2002 (6-19)	Mary Keoughan Rev. Joseph McLeod (EX.)	Farmer & MacLeod National Bank Tower Suite 205, 134 Kent Street Charlottetown, PE
MOORE, Benjamin Lloyd Mermaid Queens Co., PE February 9th , 2002 (6-19)	Carolyn Gail Moore (EX.)	Stewart McKelvey Stirling Scales PO Box 2140 Charlottetown, PE
SEAMAN, David A. Brackley Beach Queens Co., PE February 9th, 2002 (6-19)	Thelma Lee Seaman (EX.)	Farmer & MacLeod National Bank Tower Suite 205, 134 Kent Street Charlottetown, PE

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SPILLETT, Marion Velma Charlottetown Queens Co., PE February 9th , 2002 (6-19)	Janet Tait (EX.)	McInnes Cooper BDC Place Suite 620, 119 Kent Street Charlottetown, PE
SULLIVAN, Eugene Francis Cardigan Kings Co., PE February 9th, 2002 (6-19)	Boyd Munn (EX.)	Alfred K. Fraser, QC PO Box 516 Montague, PE
TAYLOR, Ernest Disley Orwell, Vernon RR#2 Queens Co., PE February 9th , 2002 (6-19)	Bonita Taylor (EX.)	Stewart McKelvey Stirling Scales PO Box 2140 Charlottetown, PE
TREGGALLES, Samuel Charlottetown Queens Co., PE February 9th , 2002 (6-19)	Martha M. Treggalles Catherine J. Welsh (EX.)	Patterson Palmer PO Box 486 Charlottetown, PE
JENKINS, Henry Boswell Mount Albion Queens Co., PE February 9th , 2002 (6-19)	Myrna Sadie Ann Jenkins (AD.)	Cox Hanson O'Reilly Matheson PO Box 875 Charlottetown, PE
POWERS, David Cecil Alberton Prince Co., PE February 9th, 2002 (6-19)	Theresa Irene Arsenault (AD.)	Patterson Palmer 82 Summer Street Summerside, PE
BIRCH, Enid Summerside Prince Co., PE February 2nd, 2002 (5-18)	Henry Birch Mary Ramsay (EX.)	Ramsay & Clark PO Box 96 Summerside, PE
GRADY, Doris Summerside Prince Co., PE February 2nd, 2002 (5-18)	Ralph Grady (EX.)	David R. Hammond, QC 740A Water Street East Summerside, PE
MacCORMAC, Vernon Francis St. Margarets, Souris RR#5 Kings Co., PE February 2nd, 2002 (5-18)	Reggie Conohan (EX.)	Foster Hennessey MacKenzie PO Box 38 Charlottetown, PE
COFFIN, Fulton Parkdale Queens Co., PE February 2nd, 2002 (5-18)	Robert Coffin Gordon Coffin (AD.)	Cox Hanson O'Reilly Matheson PO Box 875 Charlottetown, PE

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ROGERSON, Mervyn Barry Charlottetown Queens Co., PE February 2nd , 2002 (5-18)	Aaron Colby Rogerson (AD.)	Carr Stevenson & MacKay PO Box 522 Charlottetown, PE
BANKS, William John Annandale Kings Co., PE January 26th, 2002 (3-17)	Gladys Banks George Banks (EX.)	Allen J. MacPhee Law Corporation PO Box 238 Souris, PE
ELBE, Doris Inez Duncan British Columbia January 26th, 2002 (3-17)	Dean Harvey Tyson Elbe (EX.)	Alfred K. Fraser, QC PO Box 516 Montague, PE
LEE, Mei Hung (also known as Mei Hung Wong) Toronto, ON January 26th , 2002 (3-17)	George Lee (EX.)	Macnutt & Dumont PO Box 965 Charlottetown, PE
WHITE, Tyndall A. Charlottetown Queens Co., PE January 26th, 2002 (3-17)	Dianne White (EX.)	Farmer & MacLeod National Bank Tower Suite 205, 134 Kent Street Charlottetown, PE
BOWMAN, Helena Hunter River Queens Co., PE (sometimes of Toronto, ON) January 19th, 2002 (3-16)	Kathryn Bowman (EX.)	Curley Larter Sanderson Howard 91 Water Street Charlottetown, PE
CARRUTHERS, Allison Garfield Mount Stewart Kings Co., PE January 19th , 2002 (3-16)	Tryphosa Olive Carruthers (EX.)	Cox Hanson O'Reilly Matheson PO Box 875 Charlottetown, PE
JENKINS, Wendell Harry (also known Harry Wendell Jenkins) Mount Albion Queens Co., PE January 19th, 2002 (3-16)	Myrna Sadie Ann Jenkins (EX.)	Cox Hanson O'Reilly Matheson PO Box 875 Charlottetown, PE
MORRISON, Edna Lillian Summerside Prince Co., PE January 19th, 2002 (3-16)	Arthur Morrison (EX.)	Regena Kaye Russell PO Box 383 O'Leary, PE

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MORRISON, Harold Alvin Summerside Prince Co., PE January 19th , 2002 (3-16)	Arthur Morrison (EX.)	Regena Kaye Russell PO Box 383 O'Leary, PE
NIEUWHOF, Anna South Rustico Queens Co., PE January 19th, 2002 (3-16)	Randall Nieuwhof Folly Dykstra Pieter Van Nieuwen Huyzen (EX.)	Patterson Palmer Hunt Murphy PO Box 486 Charlottetown, PE
THORNE, Merton Georgie Cape Traverse Prince Co., PE January 19th, 2002 (3-16)	Eric Wood (EX.)	Stewart McKelvey Stirling Scales PO Box 2140 Charlottetown, PE
CHILD, June Salmon Arm British Columbia January 12th , 2002 (2-15)	David Child (EX.)	Carr Stevenson & MacKay PO Box 522 Charlottetown, PE
GAUDET, Earle Joseph Miscouche Prince Co., PE January 12th, 2002 (2-15)	Gregory Earle Gaudet (EX.)	David R. Hammond, QC 740A Water Street East Summerside, PE
McEWEN, Irwin Pembroke County of Renfrew, ON January 12th, 2002 (2-15)	Yvonne McEwen (EX.)	McInnes Cooper BDC Place, Suite 620 119 Kent Street Charlottetown, PE
McINNIS, Mary Margaret Helen Bloomfield Prince Co., PE January 12th, 2002 (2-15)	Daniel McCabe (EX.)	Ramsay & Clark PO Box 96 Summerside, PE
MORRISON, William Andrew Rothesay Kings Co., NB January 12th , 2002 (2-15)	Jacquelyn Lee Richard (EX.)	Patterson Palmer Hunt Murphy 82 Summer Street Summerside, PE
HENRY, Edwin Stewart Stratford Queens Co., PE January 5th, 2002 (1-14)	Marion Henry (EX.)	Carr Stevenson & MacKay PO Box 522 Charlottetown, PE
YOUNG, Clyde Joseph Summerside Prince Co., PE January 5th, 2002 (1-14)	Juanita Young (AD.)	Taylor McLellan PO Box 35 Summerside, PE

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Estate of: Date of the Advertisement	Personal Representative: Executor/Executrix (Ex) Administrator/Administratrix (Ad)	Place of Payment
ACORN, Lorne Seaforth Charlottetown Queens Co., PE December 29th, 2001 (52-14)	William Acorn Kimball Acorn (EX.)	Cox Hanson O'Reilly Matheson PO Box 875 Charlottetown, PE
CAMPBELL, Norman Louis Charlottetown Queens Co., PE December 29th, 2001 (52-14)	Florence C. Dean (EX.)	Farmer & MacLeod National Bank Tower Suite 205, 134 Kent Street Charlottetown, PE
CROWELL, Priscilla W. South Dennis Barnstable County Massachusetts, USA December 29th, 2001 (52-14)	Gregory Winslow Crowell Robert E. Crowell, Jr. Kathryn J. Crowell Benn (EX.	Carr, Stevenson & MacKay PO Box 522 Charlottetown, PE
DELANEY, Warren James North Tryon Prince Co., PE December 29th, 2001 (52-14)	Bennett Sherren (EX.)	Taylor McLellan PO Box 35 Summerside, PE
DUNCAN, Thomas Smallman Woodstock Prince Co., PE December 29th, 2001 (52-14)	Gladys Pearl Duncan (EX.)	J. Allan Shaw Law Corporation PO Box 40 Alberton, PE
HYDE, Sadie Belle Charlottetown Queens Co., PE December 29th, 2001 (52-14)	Olive L. Osborne (EX.)	Macnutt & Dumont PO Box 965 Charlottetown, PE
MacGILLIVRAY, Barry Union Road Charlottetown RR#3 Queens Co., PE December 29th, 2001 (52-14)	Daniel MacGillivray Edward MacGillivray (EX.)	Foster Hennessey MacKenzie PO Box 38 Charlottetown, PE
MAXTED, Norman Milo Prince Co., PE December 29th, 2001 (52-14)	Clifford MacNevin Judy MacNevin (EX.)	Regena Kaye Russell PO Box 383 O'Leary, PE
NICHOLSON, Margaret Gertrude Summerside Prince Co., PE December 29th, 2001 (52-14)	e Mary E. Duchesne (EX.)	Foster Hennessey MacKenzie PO Box 38 Charlottetown, PE

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PARSONS, Russell Millvale Queens Co., PE December 29th, 2001 (52-14)	Lois Parsons (EX.)	Stewart McKelvey Stirling Scales PO Box 2140 Charlottetown, PE
POIRIER, J. Elmer St. Nicholas Prince Co., PE December 29th, 2001 (52-14)	Earl Poirier (EX.)	David R. Hammond, QC 293 Water Street Summerside, PE
SAULNIER, Victor North Rustico Queens Co., PE December 29th, 2001 (52-14)	Bertha Saulnier (EX.)	Campbell Lea PO Box 429 Charlottetown, PE
SMALLMAN, Olive Floyd O'Leary Prince Co., PE December 29th, 2001 (52-14)	Dorothy Ellis (EX.)	Regena Kaye Russell PO Box 383 O'Leary, PE
WOODSIDE, A. Scott Margate Prince Co., PE December 29th, 2001 (52-14)	Valerie Woodside (EX.)	Patterson Palmer Hunt Murphy 82 Summer Street Summerside, PE
ACORN, Nathan Elbert Brudenell Kings Co., PE December 29th, 2001 (52-14)	Brendon Sheppard (AD.)	Alfred K. Fraser, QC PO Box 516 Montague, PE
AUMANN, John T. Clinton Prince Co., PE December 29th, 2001 (52-14)	Jean L. Aumann (AD.)	Patterson Palmer Hunt Murphy 82 Summer Street Summerside, PE
RICHARD, Ferdinand P. Egmont Bay Prince Co., PE December 29th, 2001 (52-14)	Donat Richard (AD.)	Patterson Palmer Hunt Murphy 82 Summer Street Summerside, PE
AFFLECK, Wilfred C. Mount Stewart Queens Co., PE December 22nd, 2001 (51-13)	William Affleck (EX.)	Farmer & MacLeod National Bank Tower Suite 205, 134 Kent Street Charlottetown, PE
MARTIN, Carl Joseph Charlottetown Queens Co., PE December 22nd, 2001 (51-13)	Linda Bugden (EX.)	Cox Hanson O'Reilly Matheson PO Box 875 Charlottetown, PE

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Estate of: Date of the Advertisement	Personal Representative: Executor/Executrix (Ex) Administrator/Administratrix (Ad)	Place of Payment	
SANPHY, Margaret Mary Montague Kings Co., PE December 22nd, 2001 (51-13)	Julia Donahoe David Arsenault (EX.)	Alfred K. Fraser, QC PO Box 516 Montague, PE	
WRIGHT, Norman Ira Summerside Prince Co., PE December 22nd, 2001 (51-13)	Carol Marie Upton William Ira Wright Anne Mary Wright (EX.)	Taylor McLellan PO Box 35 Summerside, PE	

NOTICE OF DISSOLUTION

Partnership Act R.S.P.E.I. 1988, Cap. P-1

Public Notice is hereby given that a Notice of Dissolution has been filed under the *Partnership Act* for each of the following:

ABN AMRO Leasing

Owner: ABN Amro Bank Canada

Registration Date: March 20, 2002

ARMAND'S CARPENTRY AND CABINET

MAKING

Owner: Armand Arsenault.

Registration Date: March 19, 2002

CAPTAIN STEWART SEAFOOD & STEAK

Owner: Jihad (John) Haddad

Registration Date: March 20, 2002

EAST MAC FARMS

Owner: Daniel P. MacDonald Ronald MacDonald

Registration Date: March 14, 2002

LASALLE BUSINESS CREDIT Owner: ABN Amro Bank Canada

Registration Date: March 20, 2002

LYLE & MCCABE Owner: George A. Lyle

Clifford C. McCabe Registration Date: March 15, 2002

MARIA'S LOBSTER HUT Owner: Maria Sharbell

Registration Date: March 21, 2002

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NOTICE OF REGISTRATION

Partnership Act R.S.P.E.I. 1988, Cap. P-1, s.52 and s.54(1)

Public Notice is hereby given that the following Declarations have been filed under the *Partnership Act*:

ABN AMRO Bank N.V., Canada Branch

Owner: ABN AMRO Bank N.V. 79 Wellington St. W. 15th Fl, Aetna Tower Toronto, ON M5K 1G8

Registration Date: March 20, 2002

ABN AMRO Leasing

Owner: ABN AMRO Bank N.V 79 Wellington St. W. 15th Fl, Aetna Tower Toronto, ON M5K 1G8

Registration Date: March 20, 2002

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B & M DESROCHES CONSTRUCTION

Owner: Brian J. DesRoches Michelle B. DesRoches

R R 1

Kinkora, PE C0B 1N0

Registration Date: March 19, 2002

BLOGPEOPLE

Owner: Jevon MacDonald 34 Trafalgar St.

Charlottetown, PE C1A 3Z1

Registration Date: March 15, 2002

CANADIAN CLASSICS MAGAZINE

Owner: Lidstone Publications Inc.

R R # 2

Alberton, PE C0B 1B0

Registration Date: March 15, 2002

CAPTAIN STEWART'S FAMILY

RESTAURANT

Owner: Jihad (John) N. Haddad

Box 132

Mt. Stewart, PE C0A 1T0

Registration Date: March 20, 2002

McCARVILLE TRUCKING

Owner: Michael K. McCarville

54 St. Peters Rd.

Charlottetown, PE C1A 5N5

Registration Date: March 19, 2002

CELADON DESIGN COMPANY

Owner: Graeme A.S. Magill

21 Pleasant St.

Charlottetown, PE C1A 5E6

Registration Date: March 18, 2002

COASTAL LIVING COTTAGES

Owner: Cheryl A. Delaney 53 Baker Shore Rd.

R R 3, Summerside, PE C1N 4J9

Registration Date: March 15, 2002

COOL SOLUTIONS

Owner: James D. McManaman

RR2

Hunter River, PE C0A 1N0 Justin B. Campbell

Kensington, PE C0B 1M0

Registration Date: March 19, 2002

EAST MAC FARMS

Owner: Daniel P. MacDonald

East Point

R R 1, Elmira, PE C0A 1K0

Registration Date: March 14, 2002

FIDELITY RETIREMENT SERVICES Owner: Fidelity Intermediary Securities Company Limited 500 - 483 Bay Street Toronto, ON M5G 2N7		
Registra	tion Date:	March 18, 2002
GCR TI Owner:	RE CENTRES Bridgestone/Firestone 5770 Hurontario St., S Mississauga, ON L5R	Suite 400
Registration Date: March 19, 2002		
	ACAB Ken MacKenzie Box 837 Cornwall, PE C0A 1F tion Date:	10 March 21, 2002
GRANT Owner:	THORNTON LLP John B.J. Garritsen Alexander A. MacBea R.J. Adamkowski D.H. Anthony R.L. Bean R.P. Bent S.R. Bishop D.C. Blom T.R. Bolivar R.J. Broder W.R. Brushett W.C. Budgell R.T. Burley B.C. Byford G.B. Caldwell D.G. Carroll F.T. Chan P.R. Coleman J.E. Copeland W.M. Creed B.M. Cullen P.L. Cunningham B.K. Danyluik R.D. Dawe J.M. Delaney T.C. Estabrooks K.E. Evans D.K. Ferguson A.K. Ferrari R.G. Flynn T.H. Forestell S.W. Fowler K.P. Froese R.W. Fudge G.M. Gallant J.B.J. Garritsen G.B. Gilbert M.R. Godbold I. Gottschalk	ath

J.R. Grant J.D. Gunn C.E. Hachey R.R. Hacquard R.H. Hahn G.F. Harry W.A. Hirtle J.T. Holdstock M.J. Hudson J.N. Hunter R.S. Jamieson W.C. Jones W.J. Kai F.J. Kelly T.D. Kelly J.K. Ladner G.P. Leonard B.A. Lewis P.B. Lloyd M.E. Louis H.G. Lunnen A.A. MacBeath B.R. MacDonald K.S. MacDonald W.B. MacIntosh T.L. MacKenzie D.A. MacLean S.D. MacMillan J.L. MacNeil B.C. Magill D.H. Magnus P.M. Martin J.E. McDade I.M. McDonald G.G. McFarlane A.G. McInnis D. McKinley R.L. McNeil W.J. McTavish S.G. Mehinagic D.A. Menzies D.L. Merrell M.H. Minuck D.G. Moore R.W. Mudie J.L. Mullowney B.J. Mungham L.E. Munroe L.B. Murray P.D. Murray P.B. Noble R.W. Oakley T.E. Owen K.E. Parsons B.M. Perles R.E.G. Popel G.R. Popp D.B. Richards J.W. Roy R.W. Royston M. Rutherford A.A. Rutman

D.D. Graham

J.W. Sanford
P. Snobelen
R.I. Soifer
B.J. Stoddard
R.G. Stringer
B.H. Tarling
J.H. Taylor
M.D. Thomson
G.B. Trenholm
P.H. Van Iderstine
D.J. Vass
D. Vigna
T.M. Wainman
P.M. Walsh

P.M. Walsh G.G. Weckwerth R.D. Wheeler G.R. Williams

B.L. Wilson R.L. Wood R.D. Woodman P.R. Worth

199 Grafton St, Suite 501 Charlottetown, PE C1A 7K4

Registration Date: March 19, 2002

GREEN MEADOWS LIQUID TRANSPORT

Owner: Allison MacPhee Clyde River

R Ř # 2, Cornwall, PE COA 1H0 Registration Date: March 18, 2002

LASALLE BUSINESS CREDIT

Owner: ABN AMRO Bank N.V. 79 Wellington St W. 15th Fl, Aetna Tower Toronto, ON M5K 1G8

Registration Date: March 20, 2002

LYLE & McCABE

Owner: George A. Lyle Law Corporation Clifford C. McCabe Law Corporation Box 300

Summerside, PE C1N 4Y8

Registration Date: March 15, 2002

MARIA'S LOBSTER HUT

Owner: Ewen Clark

Hamilton, PE C0B1M0

Registration Date: March 21, 2002

PAULA'S HAIR DESIGN

Owner: Paula Sullivan-Nicholson

Box 875

Montague, PE C0A 1R0

Registration Date: March 20, 2002

STRAIT LINE ROOFING Owner: Gary D. MacLeod

7 MacKinnon Rd.

Charlottetown, PE C1A 8P9

Bob J. Hainer 77 Spring Park Rd

Charlottetown, PE C1A 3Y3

Registration Date: March 20, 2002

T.J. ELECTRIC

Owner: Joann Gallant 136 Patrick Dr.

Summerside, PE C1N 6K8

Registration Date: March 20, 2002

13

NOTICE OF GRANTING LETTERS PATENT

Companies Act R.S.P.E.I. 1988, Cap. C-14, s.11

Public Notice is hereby given that under the *Companies Act* Letters Patent have been issued by the Minister to the following:

100370 P.E.I. INC.

119 Kent Street

Suite 620

Charlottetown, PE C1A 1N3

Incorporation Date: March 20, 2002

CRANE HOLDINGS INC.

c/o Evans MacCallum Box 714

Charlottetown, PE C1A 7L3

Incorporation Date: March 20, 2002

ISLAND BOY ELECTRONIC CASH

SOLUTIONS LTD. 8 Waverley Court

Charlottetown, PE C1A 3C3

Incorporation Date: March 14, 2002

KEITH'S MOBILE WASH LTD.

c/o Keith Morrell

Box 604

Kensington, PE C0B 1M0

Incorporation Date: March 19, 2002

JANSEN McKINNON & ASSOCIATES INC.

Fortune Bridge

RR 4

Souris, PEE C0A 2B0

Incorporation Date: March 15, 2002

13

NOTICE OF GRANTING SUPPLEMENTARY LETTERS PATENT

Companies Act

R.S.P.E.I. 1988, Cap. C-14, s.18, s.3

Public Notice is hereby given that under the *Companies Act* supplementary letters patent have been issued by the Minister to the following:

ATLANTECH ENGINEERING & ASSOCIATES INCORPORATED

Purpose: To increase the authorized capital of the company.

Effective Date:

March 15, 2002

13

NOTICE OF CHANGE OF CORPORATE NAME

Companies Act R.S.P.E.I. 1988, CAP. C-14, S. 81.1

Public Notice is hereby given that under the *Companies Act* the following corporation has changed its corporate name:

100323 P.E.I. INC.

Former Name

CAMAK INC.

New Name

Effective Date:

March 19, 2002

D.G.L. PRODUCTS LIMITED

Former Name

SMITH LODGE INC.

New Name

Effective Date:

March 14, 2002

13

NOTICE

PUBLIC NOTICE is hereby given that under the provisions of the *Co-operative Associations Act*, a Certificate of Incorporation bearing date the 19th day of March, 2002, has been granted to Britannia Cultural Co-operative Limited, with head office at Ellerslie, Prince Edward Island.

DATED at Charlottetown this **19th** day of **March**, **2002**.

Edison Shea, C.A. Registrar

13

NOTICE

PUBLIC NOTICE is hereby given that under the provisions of the *Co-operative Associations Act*, a Certificate of Incorporation bearing date the 7th day of March, 2002, has been granted to **PEI Environmental Health Co-operative Limited**, with head office at Cornwall, Prince Edward Island.

DATED at Charlottetown this **7th** day of **March**, **2002**.

Edison Shea, C.A. Registrar

13

http://www.gov.pe.ca/royalgazette

NOTICE

PUBLIC NOTICE is hereby given that under the provisions of the *Co-operative Associations Act*, a Certificate of Incorporation bearing date the 15th day of March, 2002, has been granted to **West Island Shellfish Co-operative Limited**, with head office at Tignish, Prince Edward Island.

DATED at Charlottetown this 15th day of March, 2002.

Edison Shea, C.A. Registrar

13

NOTICE OF PETITION FOR PRIVATE BILL

PUBLIC NOTICE is hereby given that in conformity with the rules of the Legislative Assembly of the Province of Prince Edward Island, at the current sitting of the Legislative Assembly of the said Province, a petition will be presented for the consolidation and amendment of the "Prince Edward Island Mutual Insurance Company Act" and amendments thereto, for the following purposes:

- 1) To change or make provision for; summonsing special meetings, prescription of zones for Directors, election of Directors, voting and handling of investments;
- Such further and other amendments which may be necessary for the better administration of the Prince Edward Island Mutual Insurance Company aforesaid.

DATED at Summerside, Prince County Province of Prince Edward Island, this 19th day of March 2002.

Blair A. Campbell General Counsel for the Applicant

12-13

PROCLAMATION

CANADA PROVINCE OF PRINCE EDWARD ISLAND

(Great Seal)
ELIZABETH THE SECOND, by the
Grace of God of the United Kingdom,
Canada and Her other Realms and
Territories, QUEEN, Head of the
Commonwealth, Defender of the Faith.

Hon. J. LÉONCE BERNARD Lieutenant Governor

TO ALL TO WHOM these presents shall come or whom the same may in any wise concern:

GREETING

A PROCLAMATION

WHEREAS in and by section 24 of Chapter 22 of the Acts passed by the Legislature of Prince Edward Island in the Session thereof held in the year 2000 and in the forty-ninth year of Our Reign intituled "An Act to Amend the Securities Act" it is enacted as follows:

"This Act comes into force on a date that may be fixed by proclamation of the Lieutenant Governor in Council.",

AND WHEREAS it is deemed expedient that the said Act, Stats. P.E.I. 2000 (2nd Session), c. 22 should come into force on the 1st day of April, 2002,

NOW KNOW YE that We, by and with the advice and consent of our Executive Council for Prince Edward Island, do by this Our Proclamation ORDER AND DECLARE that the said Act, being "An Act to Amend the Securities Act" passed in the forty-ninth year of Our Reign shall come into force on the first day of April, two thousand and two of which all persons concerned are to take notice and govern themselves accordingly.

IN TESTIMONY WHEREOF We have caused these Our Letters to be made Patent and the Great Seal of Prince Edward Island to be hereunto affixed.

WITNESS the Honourable J. Léonce Bernard, Lieutenant Governor of the Province of Prince Edward Island, at Charlottetown this twenty-sixth day of March in the year of Our Lord two thousand and two and in the fifty-first year of Our Reign.

By Command, LYNN E. ELLSWORTH Clerk of the Executive Council

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The ROYAL GAZETTE is issued every Saturday from the office of Beryl J. Bujosevich, Queen's Printer, PO Box 2000, Charlottetown, PEI C1A 7N8. All copy must be received by the Tuesday preceding day of publication. The subscription rate is \$45.00 per annum, postpaid; single copies \$1.00 each, postpaid or \$.75 each, over the counter.

PART II REGULATIONS

EC2002-134

AGRICULTURAL INSURANCE ACT REGULATIONS AMENDMENT

(Approved by His Honour the Lieutenant Governor in Council dated 26 March 2002.)

Pursuant to section 16 of the *Agricultural Insurance Act* R.S.P.E.I. 1988, Cap. A-8.2, the Board of the Prince Edward Island Agricultural Insurance Corporation, with the approval of the Lieutenant Governor in Council, made the following regulations:

- 1. Section 3 of the *Agricultural Insurance Act* Regulations (EC282/94) is amended
 - (a) in clause (v) by the deletion of the word "and";
 - (b) in clause (y) by the deletion of the words "peppers." and the substitution of the words "peppers;"; and
 - (c) by the addition of the following:
 - (z) apples;
 - (aa) wild lowbush blueberries.
- 2. Section 7 of the regulations is amended
 - (a) in clause (k) by the deletion of the words "peppers; and" and the substitution of the words "peppers;";
 - (b) in clause (1) by the deletion of the words "cabbage." and the substitution of the words "cabbage;"; and
 - (c) by the addition of the following:
 - (m) apples;
 - (n) wild lowbush blueberries.
- 3. Subsection 13(7) of the regulations is amended by the deletion of the words "until June 30" and the substitution of the words "30 days after the application deadline".
- 4. Subsection 14(1) of the regulations is revoked and the following substituted:

Discount or surcharge

- **14.** (1) The Corporation will provide a premium discount or surcharge based on the insured's relative loss ratio (RLR) which applies to groups of crops as follows:
 - (a) all types and varieties of potatoes;
 - (b) all cereal grains, grain corn and protein feed crops;
 - (c) all types and varieties of edible beans;
 - (d) tobacco;
 - (e) all types and varieties of cole crops;
 - (f) rutabagas;
 - (g) field peppers;
 - (h) apples;
 - (i) wild lowbush blueberries.

5. Clause 17(2)(b) is revoked and the following substituted:

- (b) 85%, for crops insured under Schedule A Part XI Whole Farm Potato Crop Plan Rider, where
 - (i) the production of the primary crop is less than 65% of the total probable production, and
 - (ii) either
 - (A) the insured's experience discount/surcharge ratio is 1.0 or less, or
 - (B) the crop would otherwise be eligible under subclause (c)(i);

6. Subsection 24(2) of the regulations is revoked and the following substituted:

Maximum insured value

(2) The maximum insured value for a crop under Stage II is as follows:

Crop	Insured Value
Wild lowbush blueberries	50%
Cereals (spring)	50 - 80%
Cereals (winter)	50 - 80%
Cole Crops - broccoli and cauliflower	45 - 60%
Cole Crops - Brussels sprouts and cabbage	50 - 75%
Dry beans	50 - 80%
Grain corn	50 - 80%
Field peppers	40 - 60%
Potatoes	50 - 75%
Rutabagas	40 - 65%
Soybeans	50 - 80%
Tobacco	50 - 70%

7. Schedule A is amended

(a) in Part I - COLE CROPS (Processing Broccoli & Cauliflower) by the deletion of the words

"Stage II indemnity rate (unharvested acres)
FULL OFFSET between Stage II and Stage

Maximum indemnity is 60% of insured value (section 24)"

Maximum indemnity is:

and the substitution of the following:

"Stage II indemnity rate (unharvested acres) FULL OFFSET between Stage II and Stage III

(section 24)
For broccoli - 60% of insured value
For cauliflower (before covering 50% or
more of the heads) - 45% of insured value
For cauliflower (after covering 50% or
more of the heads) - 60% of insured

value"

(b) in Part XII - ELITE SEED POTATO PLAN item 10 is amended by the addition of the words "at the 80% coverage level and at the high unit price" after the words "crop insurance coverage".

(c) in Part XV - APPLE PLAN by the revocation of Section B and the substitution of the following:

SECTION B - Apple Production Insurance

- The insured will not receive a provincial benchmark, but must supply production data for a minimum of two consecutive years immediately prior to the year production insurance is requested, which will be used to determine the insured's probable yield.
- The probable yield is based on the insured's weighted average production during the 10-year period prior to the crop year being insured; production for non-insured years must be from consecutive years prior to insuring.

DATE	TOPIC	REQUIREMENTS and/or EFFECTS		
Nov	Application deadline	All areas with trees aged 6 years or over must be		
30		included		
	Required deposit	15 - 50% as per section 13(2)		
	Perils insured against	See section 8		
3.	Coverage adjustment - the	a) Adjustment based on age of trees:		
	number of acres applied	<u>Tree Age</u> <u>Adjustment Factor</u>		
	for will be adjusted for	6 years 0.14		
	establishing orchards, i.e.	7 years 0.28		
	with trees planted from 6	8 years 0.42		
	to 12 years earlier.	9 years 0.56		
		10 years 0.70		
		11 years 0.84		
		12+ years 1.00		
		Trees will be deemed 12 years old if		
		the year of planting cannot be documented		
		to the satisfaction of the Corporation.		
		b) Adjustment for any planting missing more		
		than 5% of the trees will be adjusted accordingly.		
Stage I a	Stage I and Stage II indemnity rates do not apply to this crop.			

Stage III	indemnity	Indemnity equals the shortfall in the production at the selected Unit Price (see Section 25)		
Oct 25	Final date for harvest	Final date for harvest Subsequent field losses are at the insured's risk		
Nov 15	Final Date for filing PROOF of LOSS in writing.			
4.	4. Production to count will be based on:			
	(a) pounds of U-pick app	oles sold;		
	(b) pounds of fresh packed Canada Fancy apples sold or in storage;			
		pounds of processed or juice apples sold or in storage with a quality adjustment based on price ratio compared to fresh packed; or		
	(d) yield measurements p and allowing for norm	yield measurements prior to harvest based on estimated pounds per tree and allowing for normal drop rates of 10%; subsequent losses due to wind prior to October 25 will require new estimates to determine the		
		version of marketing from fresh packed apples to U-pick apples or reverse result in adjustments to probable yield as follows:		
		oples to U-pick apples will have the probable yield narvested production multiplied by 3/4 (or 75%).		
		o fresh packed apples will have the probable yield narvested production multiplied by 4/3 (or		

(d) by the addition of the following:

PART XVI - WILD LOWBUSH BLUEBERRIES

This Schedule A Wild Lowbush Blueberries Supplement forms an integral part of the CROP INSURANCE AGREEMENT and as such contains supplementary information specific to Wild Lowbush Blueberries.

DATE	TOPIC	REQUIREMENTS and/or EFFECTS
Nov 30	Application deadline	Acres applied for are the acres intended for harvest the subsequent summer. Property maps are required.
	Required deposit	15 - 50% as per section 13(2)
	Perils insured against	Coverage as per section 8, plus winter injury, bird damage and unavoidable pollination failure. Beehives should be placed in fields larger than 10 acres.

	Coverage Adjustment - for	The relevant adjustment factor will be t	he lesser	
	an area harvested in	of the following:		
	subsequent years the	1) the adjustment factor determined by the		
	number of acres applied for	following chart on the basis of the proportion of		
	will be corrected on a per	the land covered with lowbush blueberry plants:		
	field basis if plant	Condition Adjustment Factor		
	coverage is less than 95%	95+% coverage	- 1.00	
	or if an area has been	85-95% coverage	- 0.90	
	harvested less than four	75-85% coverage	- 0.80	
	times	65-75% coverage	- 0.70	
		55-65% coverage	- 0.60	
		45-55% coverage	- 0.50	
		35-45% coverage	- 0.40	
		25-35% coverage	- 0.30	
		15-25% coverage	- 0.20	
		1-15% coverage	- 0.10	
		OR		
		new blueberry acres will be adjusted	d:	
		First harvest	- 0.15	
		Second harvest	- 0.25	
		Third harvest	- 0.50	
		AND		
		3) acres which were harvested in the previous		
		years:		
		Subsequent year harvest	- 0.50	
Stage II ii	ndemnity rate (unharvested	Since Stage I does not apply as the plants are		
acres)		perennial, the indemnity on unharvested acres is		
	FFSET between Stage II and	50% of insured value; except in the case of new		
Stage III		blueberry land, i.e., intended for first harvest,		
		post-harvest management practices mus		
	applied to qualify. Otherwise, no indemnity i			
		payable and the development status of the area		
		will remain the same as it was at the time of		
		application.		
Stage III indemnity applies to		Indemnity equals the shortfall in production at		
	narvested acres the selected unit price (see section 25).			
Sept 25	Final date for harvest	Subsequent field losses are at the insure		
Nov 30	Notwithstanding subsection 20(1), this is the final date for filing PROOF of			
	LOSS in writing.			
Productio	n to count means the amount of	f cleaned blueberries harvested or sold by	weight.	

8. These regulations are deemed to have come into force on December 1, 2001.

EXPLANATORY NOTES

The amendments add wild lowbush blueberries as an insurable crop, specify Stage II coverage for named cole crops, winter cereals and wild lowbush blueberries, and simplify the production to count calculations for apple and blueberry growers.

Certified a true copy,

Lynn E. Ellsworth Clerk of the Executive Council

EC2002-135

CRIMINAL CODE OF CANADA TERMS AND CONDITIONS FOR THE ISSUANCE OF LICENSES FOR THE CONDUCT AND MANAGEMENT OF LOTTERY SCHEMES

LOTTERY SCHEMES ORDER AMENDMENT

(Approved by His Honour the Lieutenant Governor in Council dated 26 March 2002.)

Pursuant to section 207 of the Criminal Code of Canada, R.S.C. 1985, Chap. C-46, Council made the following order:

1. Section 3 of the Criminal Code of Canada Lottery Schemes Order (EC884/84) is revoked and the following substituted:

3. LICENSE FEE

- (1) Where the total value of the prizes offered for a lottery scheme is less than or equal to \$250, a license fee is payable to the Provincial Treasurer in the amount of \$5.
- (2) Where the total value of the prizes offered for a lottery scheme, other than a bingo, is in excess of \$250, a license fee is payable to the Provincial Treasurer in the amount equal to the lesser of
 - (a) 2% of the total value of the prizes offered; and
 - (b) \$2,000.
- (3) Where the total value of prizes offered for a bingo is in excess of \$250, a license fee is payable to the Provincial Treasurer in the amount equal to 2% of the total value of the prizes offered.
- 2. This order comes into force on April 1, 2002.

EXPLANATORY NOTES

This amendment revises the fees for lottery licenses, which have not changed since 1988, in order to more fairly allocate the costs of administering the Lottery Schemes Order to the fees charged for each category of license.

Certified a true copy,

Lynn E. Ellsworth Clerk of the Executive Council

EC2002-136

FOREST MANAGEMENT ACT FOREST RENEWAL PROGRAM REGULATIONS AMENDMENT

(Approved by His Honour the Lieutenant Governor in Council dated 26 March 2002.)

Pursuant to section 25 of the Forest Management Act R.S.P.E.I. 1988, Cap. F-14, Council made the following regulations:

- 1. Subsection 10(1) of the Forest Management Act Forest Renewal Program Regulations (EC189/96) is amended by the deletion of the words "April 1, 2002." and the substitution of the words "April 1, 2003.".
- 2. These regulations come into force on April 1, 2002.

EXPLANATORY NOTES

These regulations provide for the extension of the Forest Management Program checkoffs from April 1, 2002 to April 1, 2003.

Certified a true copy,

Lvnn E. Ellsworth Clerk of the Executive Council

EC2002-138

SECURITIES ACT REGULATIONS **AMENDMENT**

(Approved by His Honour the Lieutenant Governor in Council dated 26 March 2002.)

Pursuant to section 27 of the Securities Act R.S.P.E.I. 1988, Cap. S-3 (as amended by Stats. P.E.I. 2000, c. 22), Council made the following regulations:

- 1. Section 1 of the Securities Act Regulations (EC165/89) is amended
 - (a) by the revocation of clauses (a) and (b) and the substitution of the following clauses:
 - (a) "Canadian Investment Funds Course" means the course prepared Canadian and conducted by the Education Division of The Investment Funds Investment Funds

Institute of Canada and so designated by that institute, every predecessor to that course and every successor to that course that does not materially narrow the content of the significant subject matter of the course;

Canadian Investment Manager Program (a.1) "Canadian Investment Manager Program" means the program prepared and conducted by the Canadian Securities Institute and so designated by that institute, every predecessor to that course and every successor to that course that does not materially narrow the content of the significant subject matter of the course;

Canadian Securities Course (b) "Canadian Securities Course" means the course prepared and conducted by the Canadian Securities Institute and so designated by that institute, every predecessor to that course and every successor to that course that does not materially narrow the content of the significant subject matter of the course;

Chartered Financial Analyst Examination Program (b.1) "Chartered Financial Analyst Examination Program" means the program prepared and conducted by the Canadian Securities Institute and so designated by that institute, every predecessor to that course and every successor to that course that does not materially narrow the content of the significant subject matter of the examination;

Conduct and Practices Course (b.2) "Conduct and Practices Course" means the course prepared and conducted by the Canadian Securities Institute and so designated by that institute, every predecessor to that course and every successor to that course that does not materially narrow the content of the significant subject matter of the course;

(b) by the addition of the following after clause (d):

Investment Funds in Canada Course

(d.1) "Investment Funds in Canada Course" means the course prepared and conducted by the Institute of Canadian Bankers and so designated by that institute, every predecessor to that course and every successor to that course that does not materially narrow the content of the significant subject matter of the course;

labour sponsored investment funds course

(d.2) "labour sponsored investment funds course" means a course that, in the opinion of the Director, provides instruction about labour sponsored investment funds and their securities that is relevant to a person engaged in trading in the securities of labour sponsored investment funds;

(c) by the addition of the following after clause (e):

Partners, Directors and Senior Officers Qualifying Examination

(e.1) "Partners, Directors and Senior Officers Qualifying Examination" means the examination prepared and conducted by the Canadian Securities Institute and so designated by that institute, every predecessor to that examination and every successor to that examination that does not materially narrow the content of the significant subject matter of the examination;

(d) by the deletion of the period following clause (f) and the substitution of a semicolon; and

(e) by the addition of the following after clause (f):

(g) "scholarship plan dealers course" means a course that, in the scholarship plan opinion of the Director, provides instruction about scholarship plans dealers course and their securities that is relevant to a person engaged in trading in the securities of scholarship plans;

(h) "security" shall, without in any way restricting the generality of security the definition set forth in section 1 of the Act, include

- (i) any bond, debenture, note or other evidence of indebtedness, share, stock unit, unit certificate, participation certificate, certificate of share or interest, preorganization certificate of subscription other than a contract of insurance issued by an insurance company licensed under the *Insurance Act R.S.P.E.I.* 1988, Cap. I-4, or an evidence of deposit issued by a bank, or by a loan company or a trust company licensed under the Licensing Act R.S.P.E.I. 1988, Cap L-11,
- (ii) any agreement under which the interest of the purchaser is valued for purposes of conversion or surrender by reference to the value of a proportionate interest in a specified portfolio of assets, except a contract issued by an insurance company which provides for payment at maturity of an amount not less than 3/4 of the premiums paid by the purchaser for a benefit payable at maturity,
- (iii) any agreement providing that money received will be repaid or treated as a subscription to shares, stock, units or interests at the option of the recipient or of any person or company,
- (iv) any certificate of share or interest in a trust, estate or association,
- (v) any profit-sharing agreement or certificate,
- (vi) any certificate of interest in an oil, natural gas or mining lease, claim or royalty voting trust certificate,
- (vii) any oil or natural gas royalties or leases or fractional or other interest therein.
- (viii) any collateral trust certificate,
- (ix) any income or annuity contract not issued by an insurance company,
- (x) any investment contract,
- (xi) any document constituting evidence of an interest in a scholarship or educational plan or trust, and
- (xii) a commodity futures contract or any commodity futures option,

whether any of the foregoing relate to an issuer or proposed issuer.

2. Section 28 of the regulations is revoked and the following substituted:

Classification of brokers

28. Every person or company that is required to register as a broker shall be registered and classified into one or more of the following categories:

- 1. Investment dealer, being a person or company that is a member of the Investment Dealers Association of Canada, which person or company is registered to trade in securities in the capacity of an agent or principal.
- 2. Mutual fund dealer, being a person or company that is registered solely for the purpose of trading in the shares or units of mutual funds.
- 3. Scholarship plan dealer, being a person or company that is registered solely for the purpose of trading in securities of a scholarship or educational plan or trust.
- 4. Restricted dealer, being a person or company that is registered to trade in securities in the capacity of an agent or principal.

3. Section 29 of the regulations is revoked and the following substituted:

Deemed to be underwriter **29.** Every person or company granted registration as a broker shall be deemed to have been granted registration as an underwriter for the purpose of distributing the securities in which that person or company is registered to trade.

4. The regulations are amended by the addition of the following:

Classification of advisers

- **29.1** Every person or company that is required to register as an adviser shall be registered and classified into one or more of the following categories:
 - Investment counsel, being persons or companies that engage in or hold themselves out as engaging in the business of advising others as to the investing in or the buying or selling of specific securities or that are primarily engaged in giving continuous advice as to the investment of funds on the basis of the particular objectives of each client.
 - 2. Portfolio managers, being persons or companies that are registered for the purpose of managing the investment portfolio of clients through discretionary authority granted by one or more clients.
 - 3. Securities advisers, being persons or companies that hold themselves out as engaging in the business of advising others either through direct advice or through publications or writings, as to the investing in or the buying or selling of specific securities, not purporting to be tailored to the needs of specific clients.

5. Subsection 31(1) of the regulations is amended by the deletion of the words "Each registrant" and the substitution of the words "Unless the Registrar otherwise directs, each registrant".

6. Section 34 of the regulations is amended

- (a) in clause 34(2)(b), by the deletion of the words "as the Attorney General may approve." and the substitution of the words "as the Director may approve.";
- (b) by the addition of the following after subsection (2):
- (2.1) Every adviser shall maintain a minimum free capital of the Minimum free maximum amount, if any, that is deductible under any clause of the bonding or insurance policy required under subsection 35(3) plus \$5,000 of working capital calculated in accordance with generally accepted accounting principles, or such greater amount as the Registrar considers necessary where the adviser exercises control over clients' funds or securities.

capital plus working capital

(2.2) Subsection (2.1) does not apply to an adviser who provides Exception written or published advice if the adviser exercises no control over clients' funds or securities, and if no investment advice is or purports to be tailored to the needs of specific clients.

7. Section 35 of the regulations is amended

- (a) in subsection (3), by the deletion of the words "every broker and underwriter" and the substitution of the words "every security issuer, adviser and underwriter"; and
- (b) in subsection (4), by the deletion of the words "as a broker" and the substitution of the words "as a broker or adviser".

8. Section 37 of the regulations is amended

(a) by the revocation of subsection (1) and the substitution of the following:

(1) Every registrant shall maintain books and records necessary to Maintain books and record properly its business transactions and financial affairs, including the maintenance of the following books and records that, in the opinion of the Registrar, are appropriate to its business:

records

(a) blotters, or other records of original entry, containing an itemized daily record of all purchases and sales of securities, all receipts and deliveries of securities, including certificate numbers, all receipts and disbursements of cash, all other debits and credits, the account for which each transaction was effected, the name of the securities, the class or designation of the securities, the number or value of the securities, the unit and aggregate purchase or sale price, if any, the trade date and the name or other designation of the person from

- whom the securities were purchased or received or to whom they were sold or delivered;
- (b) ledgers or other records maintained in detail reflecting all the assets and liabilities, income and expense and capital accounts;
- (c) ledger accounts or other records itemizing separately for each cash and margin account of every client, all purchases, sales, receipts and deliveries of securities and commodities for the account and all other debits and credits to the account;
- (d) ledgers or other records reflecting
 - (i) securities in transfer,
 - (ii) dividends and interest received,
 - (iii) securities borrowed and securities loaned,
 - (iv) moneys borrowed and moneys loaned, together with a record of the collateral therefor and any substitutions in the collateral, and
 - (v) securities which the registrant has failed to receive and failed to deliver:
- (e) a securities record or ledger showing separately for each security as of the trade date or settlement date all long and short positions, including securities in safekeeping, carried for the registrant's account or for the account of clients, the location of all securities long and the position offsetting securities sold short and, in all cases, the name or designation of the account in which each position is carried:
- (f) an adequate record of each order and of any other instruction, which may be a copy of the order or instruction, given or received for the purchase or sale of securities, whether executed or unexecuted, showing
 - (i) the terms and conditions of the order or instruction and of modifications or cancellation of the order or instruction,
 - (ii) the account to which the order or instruction relates,
 - (iii) where the order or instruction is placed by an individual other than
 - (A) the person in whose name the account is operated, or
 - (B) an individual duly authorized to place orders or instructions on behalf of a customer that is a company,

the name, sales number or designation of the individual placing the order or instruction.

- (iv) the time of the entry of the order or instruction and, where the order is entered pursuant to the exercise of discretionary power of a registrant or any employee of a registrant, a statement to that effect.
- (v) the price at which the order or instruction was executed, and
- (vi) to the extent feasible, the time of execution or cancellation,
- (g) copies of confirmations or other records of all purchases and sales of securities, and copies of notices of all other debits and credits of securities, cash and other items for the accounts of clients;
- (h) a client record in respect of each cash and margin account containing

- (i) the name and address of the beneficial owner and the guarantor, if any, of the account,
- (ii) where trading instructions are accepted from a person or company other than the client, written authorization or ratification from the client naming the person or the company, and
- (iii) in the case of a margin account, a properly executed margin agreement containing the signature of the owner and the guarantor, if any, and the additional information obtained pursuant to the requirements of section 38.1,

but in the case of a joint account or an account of a corporation, such records are required only in respect of the person or persons authorized to transact business for the account:

- (i) a record of all puts, calls, spreads, straddles and other options in which the registrant has any direct or indirect interest or which the registrant has granted or guaranteed, containing at least an identification of the security and the underlying security and the number of underlying securities to which the put, call, spread, straddle or other option relates;
- (i) a record of the proof of money balances of all ledger accounts in the form of trial balances and a record of a reasonable calculation of minimum free capital, adjusted liabilities and capital required, prepared for each month within a reasonable time after the month.

(b) by the addition of the following:

(3) Unless otherwise required by law to be retained for a longer time Retention of period,

- (a) documents relating to executed orders or instructions as prescribed by clause (1)(f), shall be retained for a period of at least five years and shall be retained in a readily accessible location for the first two years of that five-year period; and
- (b) records relating to unexecuted orders or instructions as prescribed by clause (1)(f) and confirmations prescribed in clause (1)(g), shall be retained for a period of at least two years.
- (4) Subject to subsection (5), every registrant shall maintain the situs Books and records of its books and records in the province.

(5) Where the head office of the registrant is not in the province, the Idem registrant shall maintain in the province, or at such other place approved by the Registrar, such books and records as are necessary to record properly its business transactions and financial affairs in the province.

9. Section 38 of the regulations is amended

- (a) in subsection (1), by the addition of the words "and adviser" after the word "broker";
- (b) in subsection (2), by the deletion of the words "Brokers and investment dealers" and the substitution of the words "Members

of the Toronto Stock Exchange and members of the Investment Dealers Association of Canada";

(c) in subsection (3), by the deletion of the words "trade names for or to the client." and the substitution of the words "trades made for or with each client and supervising advice provided to each client.":

(d) by the addition of the following:

Appropriate enquiries

- (4) For the purposes of subsection (1), and subject to subsection (6), each person or company that is registered as a broker or adviser and an individual that is registered as a salesperson of a registered broker or as a counselling officer of an adviser shall make such enquiries about each client of the registrant as
 - (a) will enable it to establish the identity and, where applicable, the creditworthiness of each client, and the reputation of the client if information known to the registrant causes doubt as to whether the client is of good reputation; and
 - (b) subject to subsection (5), are appropriate in view of the nature of the client's investment and of the type of transaction being effected for the client's account to ascertain the general investment needs and objectives of each client and the suitability of a proposed purchase or sale for that client.

Exception

(5) Clause (4)(b) does not apply to a registered broker or a registered salesperson of a registered broker that executes a trade on the instruction of a registered adviser, another registered broker, a bank to which the *Bank Act* (Canada) applies, a loan company or trust company licensed under the *Licensing Act* R.S.P.E.I. 1988, Cap. L-11 or an insurance company licensed under the *Insurance Act* R.S.P.E.I. 1988, Cap. I-4.

Other appropriate enquiries

- (6) Notwithstanding any other provision of these regulations, where an account is opened and traded by an investment counsel or portfolio manager on behalf of a client,
 - (a) where the investment counsel or portfolio manager executes orders in its own name or identifies its client by means of a code or symbol, the broker must satisfy itself as to the creditworthiness of the investment counsel or portfolio manager, but shall not otherwise have any responsibility for the suitability of any trade for the client of the investment counsel or portfolio manager; and
 - (b) where the investment counsel or portfolio manager executes orders in the name of its client with no agreement that payment of the account is guaranteed by the investment counsel or portfolio manager, the broker shall
 - (i) obtain full information concerning the client with a view to determining the creditworthiness of the client, or
 - (ii) obtain a letter of undertaking from the investment counsel or the portfolio manager and the letter shall refer to the familiarity of the investment counsel or portfolio manager with applicable rules

of account supervision and the letter shall contain a covenant to make the investigation contemplated by such rules, and to advise, where known, if the client is an insider or an employee, director or officer of a company or a partner in a firm engaged in the securities business.

but the broker shall not have responsibility for determining the suitability of any trade for the client.

10. The regulations are amended by the addition of the following after section 38:

38.1 (1) Every investment counsel shall maintain standards directed to Standards ensuring ensuring fairness in the allocation of investment opportunities among the investment counsel's clients and a copy of the policies established shall be given to each client and filed with the Registrar.

(2) Every investment counsel shall charge clients directly for services rendered and such charges may be based on the dollar value of the client's portfolio, but not on the value or volume of the transactions initiated for the client and, except with the written agreement of the client, shall not be contingent upon profits or performance.

Direct charges to

(3) Subject to subsection (4), every investment counsel shall ensure that

Separate account supervision, no

- (a) the account of each client is supervised separate and distinct from the accounts of other clients; and
- (b) except in the case of mutual or pension funds, an order placed on behalf of one account is not pooled with that of another account.
- (4) Every portfolio manager shall ensure that the account of each client Exception is supervised separate and distinct from the accounts of other clients but, subject to the bylaws of a stock exchange with respect to commission rate structures, an order placed on behalf of one account may be pooled with that of another account.

(5) Where there has been a material change in the ownership or control of the investment counsel or where it is proposed that an investment counsel sell or assign the account of a client in whole or in part to another registrant, the investment counsel shall, prior to such sale or assignment and immediately after such material change, give a written explanation to the client of the proposal or change and the investment counsel shall inform the client of the client's right to withdraw his or her account.

Material change in ownership

(6) No purchase or sale of any security in which an investment counsel Conflict of interest or any partner, officer or associate of an investment counsel has a direct or indirect beneficial interest shall be made from or to any portfolio managed or supervised by the investment counsel.

CONDITIONS OF REGISTRATION - STATEMENTS OF ACCOUNT AND PORTFOLIO

Statement of

38.2 (1) Subject to subsection (5), a broker shall send a statement of account to a client at the end of each month in which the client has effected a transaction, where securities are held or there is a debit or credit balance.

Idem

(2) Subject to subsection (5), where a broker holds funds or securities on a continuing basis, the broker shall send a statement of account to each client, not less than once every three months, showing debit or credit balances and details of securities held or owned.

Variation by Registrar (3) The Registrar may vary subsections (1) and (2) as they apply to any broker.

List securities and how they are held

(4) The statements required by subsections (1) and (2) shall list the securities held for the client and indicate clearly which securities are held for safekeeping or in segregation.

Mutual funds statements

- (5) A mutual fund dealer is not required to comply with subsections (1) and (2), where a statement of account is sent to each client not less frequently than once every 12 months,
 - (a) showing the number and market value at the date of purchase or redemption of securities purchased or redeemed since the last statement; and
 - (b) the total market value of all securities of the mutual fund held by the client at the date of the statement.

Statements by portfolio manager

- (6) Except where the client has directed otherwise in writing, every portfolio manager shall send to each client not less than once every three months, a statement of the portfolio of such client under the portfolio manager's management.
- 11. The regulations are amended by the addition of the following after the heading "CONDITIONS OF REGISTRATION-PROFICIENCY REQUIREMENTS":

Time limits on completion of courses

38.3 (1) For the purposes of satisfying sections 39 to 39.4, an applicant for registration or reinstatement of registration must have completed a specified course or examination not more than three years before the date of the applicant's application for registration or reinstatement of registration, or have been previously registered in the relevant category at any time during the three-year period immediately before the date of the applicant's application for registration or reinstatement of registration.

Exception

(2) Despite subsection (1), if a person or company completes, within the three-year period referred to in subsection (1), a specified course or examination for which another specified course or examination is a prerequisite, the specified course or examination that is the prerequisite need not have been completed during the three-year period.

12. Section 39 of the regulations is revoked and the following substituted:

- 39. An individual shall not be granted registration as a salesperson of an Requirements for investment dealer or restricted dealer unless the individual has
 - (a) been granted registration previously as a salesperson, partner or dealers officer of an investment dealer or restricted dealer; or
 - (b) completed each of
 - (i) the Canadian Securities Course, and
 - (ii) the Conduct and Practices Course or the Partners, Directors and Senior Officers Qualifying Examination.

13. The regulations are amended by the addition of the following after section 39:

39.1 An individual shall not be granted registration as a salesperson of a Requirements for mutual fund dealer unless the individual has

mutual fund dealers

investment dealers

and restricted

- (a) been granted registration previously as a salesperson of an investment dealer, restricted dealer or a mutual fund dealer; or
- (b) completed any one of the Canadian Securities Course, the Canadian Investment Funds Course or the Investment Funds in Canada Course.
- **39.2** An individual shall not be granted registration as a salesperson of a Requirements for scholarship plan dealer unless the individual has

scholarship plan

- (a) been granted registration previously as a salesperson of a scholarship plan dealer; or
- (b) completed a scholarship plan dealers course.
- **39.3** (1) An individual shall not be granted registration as a securities Requirements for adviser or as a counselling officer of a securities adviser unless

securities advisers

- (a) the individual has been granted registration previously as a counselling officer of a securities adviser, investment counsel or portfolio manager or as a securities adviser, investment counsel or portfolio manager; or
- (b) the individual has
 - (i) completed the Canadian Investment Manager Program or the first year of the Chartered Financial Analyst Examination Program, and
 - (ii) established that the individual performed research involving the financial analysis of investments for at least two years under the supervision of a registered adviser.
- (2) An individual shall not be granted registration as an investment Requirements for counsel or portfolio manager or as a counselling officer of an investment counsel or portfolio manager unless

investment counsel and portfolio managers

(a) the individual has been granted registration previously as a counselling officer of an investment counsel or portfolio manager or as an investment counsel or portfolio manager;

(b) the individual has

- (i) completed either (A) the Canadian Investment Manager Program and the first year of the Chartered Financial Analyst Examination Program, or (B) the Chartered Financial Analyst Examination Program, and
- (ii) established that the individual has been employed for five years performing research involving the financial analysis of investments and that three of the five years have been under the supervision of a registered adviser having the responsibility on a discretionary basis for the management or supervision of investment portfolios having an aggregate value of not less than \$5,000,000; or
- (c) the individual has a combination of experience and educational qualifications which, in the opinion of the Registrar, are the equivalent to the requirements set out in (a) and (b).

Certain trading restricted

39.4 (1) A registered salesperson of a mutual fund dealer shall not trade in the securities of a labour sponsored investment fund on behalf of the mutual fund dealer unless the salesperson has successfully completed a labour sponsored investment funds course.

Idem

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(2) A mutual fund dealer shall not trade in the securities of a labour sponsored investment fund, except through a registered salesperson who has successfully completed a labour sponsored investment funds course.

14. Section 40 of the regulations is amended

- (a) by the deletion of the words "Notwithstanding section 39" and the substitution of the words "Notwithstanding sections 38.3 to 39.4"; and
- (b) by the deletion of the words "that section" wherever they occur and the substitution of the words "those sections".

15. Subsection 41(2) of the regulations is amended

(a) by the deletion of the period following clause (c) and the substitution of a semicolon; and

(b) by the addition of the following:

- (d) the individual is a part-time student enrolled in a business, commercial or financial course;
- (e) the individual is a full-time student enrolled in a business, commercial or financial course and the student satisfies the Registrar that he or she has a present intention of continuing a career in the investment business;

- (f) the individual is otherwise employed for six months or less during a calendar year and while so employed is not employed as a salesperson; or
- (g) the individual is carrying on a hobby, recreational or cultural activity which, in the opinion of the Registrar, will not interfere with the individual's duties and responsibilities as a salesperson.

16. Section 42 is revoked and is replaced with the following:

42. Unless the Registrar permits or requires otherwise, an applicant for Application registration as a broker, adviser, salesperson or counselling officer shall complete and execute such form as the Director may approve, unless the information required has previously been filed by the applicant and the information as previously filed is current and correct as at the date of the application.

17. (1) Section 43 of the regulations is amended by the addition of the following:

- (3) Subsection (1) does not apply to registrations granted after March Application 31, 2002.
- (2) The regulations are amended by the revocation of the heading preceding section 43 and sections 43 to 45.

18. The regulations are amended by the addition of the following:

TRANSITIONAL PROVISIONS FOR IMPLEMENTATION OF THE NATIONAL REGISTRATION DATABASE

Permanent Registration

45.1 (1) In this section and sections 45.2 to 45.8

Definitions

- (a) "registered firm" means a person or company that is registered as registered firm a broker or adviser;
- (b) "registered individual" means an individual registered to trade or individual advise on behalf of a registered firm; and
- (c) "sponsoring firm" means, for a registered individual, the sponsoring firm registered firm on whose behalf the individual is registered to trade or advise.
- (2) This section and sections 45.2 to 45.8 do not apply to a registration Application or renewal of registration with an expiry date of May 31, 2002.
- 45.2 Registered firms and registered individuals continue to be registered Permanent registration until their registration expires or is terminated.

Annual payment of fees

45.3 (1) A registered firm shall deliver to the Registrar by or on December 15 of each year the annual registration fees prescribed by or under the Act for itself and its registered individuals.

Application

(2) Subsection (1) only applies in respect of a year after the year 2002.

Suspension of registered firms

45.4 (1) If a registered firm does not deliver the fees by or on a December 15 as required under section 45.3, the firm's registration is suspended at the end of the day on January 31 of the following year.

Exception

(2) Notwithstanding subsection (1), if a registered firm delivers the annual registration fees required for itself and its registered individuals after December 15 but before the end of the day on January 31 of the next year, the Registrar may approve the continuation of the firm's registration.

Expiry

(3) A registration that is suspended under subsection (1) expires on the second anniversary of the suspension unless an application for reinstatement of registration is filed in the interim.

Reinstatement

(4) An application for reinstatement of registration shall be made in the form of application for registration as a broker provided by the Registrar and shall be accompanied by the fee required under the Act.

Suspension of registered individuals

- **45.5** (1) The registration of a registered individual with a sponsoring firm is suspended on the date that
 - (a) the registered individual ceases to act on behalf of the sponsoring firm: or
 - (b) the registration of the sponsoring firm is suspended, is terminated or expires.

Expiry

(2) A registration that is suspended under subsection (1) expires on the second anniversary of the suspension unless an application for reinstatement of registration is filed in the interim.

Reinstatement

(3) An application for reinstatement of registration shall be made in the form of application for registration as a salesperson provided by the Registrar and shall be accompanied by the fee required under the Act.

Hearing

45.6 If the registration of a registered firm or registered individual has been suspended under sections 45.4 or 45.5, and a hearing is commenced under the Act relating to the registration, the registration shall continue in suspension until a decision is issued.

Exemption

45.7 The Registrar may grant an exemption from the application, in whole or in part, of sections 45.1 to 45.5 subject to such conditions or restrictions as may be imposed in the exemption.

Revocation

45.8 This section, sections 45.1 to 45.7 and the heading preceding section 45.1 are revoked on the coming into force of a rule made by the Minister under section 35 of the Act adopting Multilateral Instrument 33-108 Permanent Registration.

Registration Information Requirements

45.9 (1) In this section and in sections 45.91 to 45.98,

Definitions

(a) "business location" means, for a registered firm or a person or business location company that is applying for registration, a location, including a residence, where the registered firm carries on, or proposes to carry on, business as a dealer or adviser;

(b) "non-registered individual" means, for a registered firm or for a non-registered person or company that is applying for registration, an individual individual who is not registered to trade or advise on behalf of the firm and who is a director, partner, or officer of the firm;

(c) "registered firm" means a person or company that is registered as registered firm a broker or adviser;

(d) "registered individual" means an individual registered to trade or registered advise on behalf of a registered firm; and

(e) "sponsoring firm" means

sponsoring firm

- (i) for a registered individual, the registered firm on whose behalf the individual is registered to trade or advise,
- (ii) for an individual applying for registration, the registered firm, or the person or company applying to become a registered firm, on whose behalf the individual proposes to trade or advise,
- (iii) for a non-registered individual of a registered firm, the registered firm, or
- (iv) for a non-registered individual of a person or company that is applying for registration, the person or company that is applying for registration.
- (2) This section and sections 45.91 to 45.98 do not apply to a Application registration or renewal of registration with an expiry date of May 31, 2002.

45.91 A registered firm shall notify the Registrar of a change to any information previously submitted in its form of application for registration as a broker, or in any application for amendment or renewal of registration, or under this section, within five business days of the change.

Changes to broker and adviser information

45.92 (1) A registered firm shall notify the Registrar of the opening of a Opening of business location within five business days of the opening.

business location

(2) A registered firm shall notify the Registrar of a change to any information previously submitted under subsection (1) within five business days of the change.

Changes to business

45.93 A registered firm shall submit to the Registrar a completed form of Addition of application for a non-registered individual in the form provided by the non-registered Registrar within five business days of the individual becoming a nonregistered individual of the registered firm.

Changes to registered individual information

45.94 (1) Except as provided in subsection (2), a registered individual shall notify the Registrar of a change to any information previously submitted in the form of application for registration, or in any application for amendment or renewal of registration, or under this subsection, within five business days of the change.

Changes regarding personal description

(2) A registered individual shall notify the Registrar of a change to any information previously submitted regarding the personal description and proficiency qualifications of the individual within one year of the change.

Termination of relationship **45.95** A registered firm shall, within five business days of a termination of an employment, partner, or agency relationship with a registered individual, notify the Registrar of the termination of the relationship by submitting a Uniform Termination Form.

Changes to nonregistered individual information **45.96** (1) Except as provided in subsection (2), a registered firm shall notify the Registrar of a change to any information previously submitted in the form of application for a non-registered individual, or in any application for amendment or renewal of the form, or under this subsection, within five business days of the change.

Changes regarding personal description

(2) A registered firm shall notify the Registrar of a change to any information previously submitted for a non-registered individual regarding the personal description of the individual within one year of the change.

Cessation of relationship

45.97 A registered firm shall, within five business days of an individual's ceasing to be a non-registered individual of the registered firm, notify the Registrar of the termination of the relationship.

Revocation

- **45.98** This section, sections 45.9 to 45.97 and the heading preceding section 45.9 are revoked upon the coming into force of a rule made by the Minister under section 35 of the Act adopting Multilateral Instrument 33-109 Registration Information Requirements.
- 19. Section 46 of the regulations is amended by the deletion of the words ", mutual fund dealer, and scholarship plan dealer" and by the substitution of the words "and adviser".
- 20. The regulations are amended by the revocation of the heading preceding section 48 and section 48 and the substitution of the following:

EXEMPTION FROM REGISTRATION AS ADVISER

Registration not required

- **48.** Registration as an adviser is not required by a broker acting as a portfolio manager where
 - (a) a stock exchange in the case of a broker who is a member of an exchange, or the Investment Dealers Association of Canada in the case of a broker who is a member of the Investment Dealers

Association of Canada, as the self-regulatory body to whose discipline the broker is subject has passed bylaws or regulations that

- (i) govern the activities of its members as portfolio managers,
- (ii) impose standards and conditions applicable to all members managing the investment portfolios of clients through discretionary authority granted by the clients, and
- (iii) together with amendments thereto, have been approved by the Registrar as the substantial equivalent of the requirements and conditions of registration for portfolio managers;
- (b) a stock exchange or the Investment Dealers Association of Canada has
 - (i) recognized certain activities of the broker as being the equivalent of those of a portfolio manager and has so advised the Registrar, and
 - (ii) with respect to the broker, provided the Registrar with
 - (A) the names of a partner, officer or employee designated and approved pursuant to the applicable bylaws or regulations to make investment decisions on behalf of or to offer advice to clients, and
 - (B) changes made in the designation and approval of a partner, director, officer or employee; and
- (c) the designated and approved individuals referred to in clause (b), who are resident in the province, are registered, designated or approved to trade in securities under the Act.
- 21. The regulations are amended by the addition of the heading "ENDORSEMENT OF WARRANT" before section 49.
- 22. The Schedule to the regulations is revoked.
- 23. These regulations come into force on April 1, 2002, except subsection 17(2), which comes into force on June 1, 2002.

EXPLANATORY NOTES

SECTION 1 adds definitions to the regulations.

SECTION 2 provides for the classification of brokers.

SECTION 3 deems registered brokers to be registered as underwriters.

SECTION 4 provides for the classification of advisers.

SECTION 5 provides that the Registrar may waive the residency requirements for registrants.

SECTION 6 provides for capital requirements for advisers.

SECTION 7 provides for bonding requirements for advisers.

SECTION 8 details the requirements for books and records and retention of documents by registrants.

SECTION 9 specifies requirements respecting the appropriate enquiries to be made by brokers and advisers respecting clients.

SECTION 10 deals with standards of fairness, direct charges to clients, material changes in ownership and conflict of interest for advisers and provides for statements of account and portfolio.

SECTIONS 11 to 14 provide for proficiency requirements for individual registrants.

SECTION 15 adds further exceptions to the requirement that individual registrants be employed full-time.

SECTION 16 provides for application forms for registrants.

SECTION 17 revokes the existing renewal requirements.

SECTION 18 provides for transitional registration provisions for implementation of the National Registration Database.

SECTION 19 extends the reporting requirements to advisers.

SECTION 20 removes the fees heading and section and provides for an exemption from the adviser registration requirements.

SECTION 21 adds a required heading.

SECTION 22 revokes the fees schedule.

Certified a true copy,

Lynn E. Ellsworth

Clerk of the Executive Council

EC2002-139

SECURITIES ACT FEES REGULATIONS

(Approved by His Honour the Lieutenant Governor in Council dated 26 March 2002.)

Pursuant to section 27 of the *Securities Act* R.S.P.E.I. 1988, Cap S-3 (as amended by Stats. P.E.I. 2000, c. 22), Council made the following regulations:

1. (1) Fees shall be paid to the Provincial Treasurer in accordance with the Schedule.

Fees payable to Provincial Treasurer

- (2) Notwithstanding subsection (1), from April 1, 2002 until the end of Transitional the day on December 14, 2002, the fees set out in paragraphs 1(a), (b), (c) and (d) of the Schedule are replaced with the following:
 - 1. Every application
 - (a) for registration of a broker, regardless of the number of categories of registration to which the application relates, shall be accompanied by a fee of \$900;
 - (b) for registration as a salesperson of a registered broker shall be accompanied by a fee of \$300;
 - (c) for registration of an adviser, regardless of the number of categories of registration to which the application relates, shall be accompanied by a fee of \$900;
 - (d) for registration as a counselling officer of an adviser shall be accompanied by a fee of \$300.
- (3) This subsection and subsection (2) are repealed on December 14, Repeal 2002.
- 2. These regulations come into force on April 1, 2002.

Commencement

SCHEDULE

- 1. Every application
 - (a) for registration of a broker, regardless of the number of categories of registration to which the application relates, shall be accompanied by a fee of \$600;
 - (b) for registration as a salesperson of a registered broker shall be accompanied by a fee of \$200;
 - (c) for registration as an adviser, regardless of the number of categories of registration to which the application relates, shall be accompanied by a fee of \$600;
 - (d) for registration as a counselling officer of a registered adviser shall be accompanied by a fee of \$200;
 - (e) for registering the change of a partner or officer of a registered broker or adviser shall be accompanied by a fee of \$100;
 - (f) for registering the transfer of a salesperson or counselling officer shall be accompanied by a fee of \$100.

- 2. (1) Subject to subsection (3), every preliminary prospectus or pro forma prospectus shall be accompanied by a fee of \$600 for each issuer.
 - (2) In addition to any fee payable under subsection (1), any form of preliminary prospectus or pro forma prospectus that offers more than one class or unit of securities of any one issuer, shall be accompanied by a fee of \$100 for each additional class or unit of securities offered.
 - (3) Every preliminary base shelf prospectus filed under National Instrument 44-102 Alternative Forms of Prospectus or every preliminary MJDS prospectus filed for a rule 415 offering under National Instrument 71-101 Securities Transactions Outside the Jurisdiction, shall be accompanied by a fee of \$800 for each issuer.
- 3. Every amendment to a preliminary, pro forma or other prospectus shall be accompanied by a fee of \$100 for each issuer.
- 4. Every annual information form filed by an issuer, other than a mutual fund, shall be accompanied by a fee of \$500.
- 5. Every application under section 14 of the Act shall be accompanied by a fee of \$200.
- 6. Every application under any section of the Act or the regulations not otherwise provided for in this Schedule shall be accompanied by a fee of \$100.

EXPLANATORY NOTES

- 1. Subsection 1(1) requires payment of fees.
- 2. Subsection 1(2) replaces the standard annual registration fee with a transitional fee to accord with the shift from a May 31 expiry date to a December 31 expiry date. The transition is being effected by issuing licenses in 2002 which cover the remainder of the year 2002 and the full year 2003. Combined fees, based on a pro-rated yearly fee for the remainder of the year 2002 and a full annual registration fee for the year 2003, will be payable during the initial portion of the transitional period.
- 3. Subsection 1(3) repeals the transitional provisions.
- 4. Section 2 brings the regulations into force.

Certified a true copy,

Lynn E. Ellsworth Clerk of the Executive Council

EC2002-140

WELFARE ASSISTANCE ACT REGULATIONS AMENDMENT

(Approved by His Honour the Lieutenant Governor in Council dated 26 March 2002.)

Pursuant to section 7 of the *Welfare Assistance Act* R.S.P.E.I. 1988, Cap. W-3, Council made the following regulations:

1. Section 18 of the *Welfare Assistance Act* Regulations (EC746/84) is amended

- (a) in clause (c)
 - (i) in paragraph (iii)(B) by the deletion of the words "\$470" and the substitution of the words "\$485",
 - (ii) in subclause (iv) by the deletion of the words "\$395" and the substitution of the words "\$407",
 - (iii) in subclause (v) by the deletion of the words "\$470" and the substitution of the words "\$485";
- (b) in clause (d)
 - (i) by the revocation of subclause (i) and the substitution of the following:

(i) Adult \$24 per person per month Child 12 - 18 \$27 per person per month Child 0 - 11 \$24 per person per month

- (ii) by the deletion of the period in subclause (ii) and the substitution of a semi-colon;
- (c) by the revocation of clause (e) and the substitution of the following:
- (e) Personal requirements

MAXIMUM MONTHLY ASSISTANCE

One adult	\$19 per person
Two adults (in one family)	\$34 per two adults
Child 12 - 18	\$18 per person
Child 0 - 11	\$13 per person

(d) by the revocation of clause (f) and the substitution of the following:

(f) Household supplies

MAXIMUM MONTHLY
ASSISTANCE

One person family unit	\$15 per family unit
Two person family unit	\$20 per family unit
Three person family unit	\$26 per family unit
Four person family unit	\$31 per family unit
Five person family unit	\$36 per family unit
Six person family unit	\$39 per family unit
Seven person family unit	\$42 per family unit
Eight person family unit	\$45 per family unit

2. Section 19 of the regulations is amended

- (a) in clauses (3)(e) and (f), by the deletion of the words "15" and the substitution of the words "16";
- (b) in subsection (6), by the deletion of the words "fifty-one" and the substitution of the words "53".
- 3. These regulations come into force on April 1, 2002.

EXPLANATORY NOTES

The amendments reflect the rate increases approved by Cabinet, effective April 1, 2002.

Certified a true copy,

Lynn E. Ellsworth Clerk of the Executive Council

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C-46	Criminal Code of Canada Lottery Schemes Order	EC884/84	s.3 [R&S] [eff] Apr. 1/02	EC2002-135 (26.03.02)	62
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W-3	Welfare Assistance Act Regulations	EC746/84	s.18(c), (d) s.18(e), (f) [R&S] s.19(3)(e), (f) s.19(6) [eff] Apr. 1/02	EC2002-140 (26.03.02)	84-85